



Online Workshop Series: Regulatory Compliance in the EU Financial Sector

ERA's new series of online workshops addresses topical issues and problems in banking, financial services, fund and investment compliance, both at the conceptual and practical levels. It updates practitioners on emerging trends and complexities in the regulatory and supervisory framework applicable to the process of raising and investing capital in the EU.

Regulatory Compliance in the EU Financial Sector: New and Evolving Frontiers

Human Rights Compliance in the Financial Sector

- Human rights due diligence in financial services value chains: *devoir de vigilance*
- Gender balance, financial inclusion and fair representation in governance

12 May 2021, 12:00-13:30 CEST

Interactive Workshop

The online workshop will be hosted on ERA's own online platform. You will be able to interact immediately and directly with our top-level speakers and other participants. We will make the most of the technical tools available to deliver an intensive, interactive experience. As the platform is hosted on our own server, the highest security settings will be applied to ensure that you can participate safely in this high-quality online workshop.

Objective

This 90-minute online workshop focuses on the intersection of human rights and financial services and the growing role of societal values and interests in finance. It addresses human rights as an aspect of sustainability and how recent policy initiatives and investor expectations have resulted in a need for transparency about business dealings with third parties, including the financial sector, and ensuing due diligence requirements.

Who should join?

EU and national regulators/supervisors, legal officers in financial services and investment sectors (credit institutions, investment firms, CSDs), lawyers in private practice, compliance officers.

Organiser

Jens Hillebrand Pohl (ERA)

Language

English

Event number

221D158e

Speakers

Cecilia Barral Diego, Consultant and Human Rights Law Expert, Löning – Human Rights and Responsible Business, Berlin

Cecilia Barral Diego is consultant and expert in international human rights law, especially focused on developing assessment frameworks and corporate policies in line with international standards and setting up human rights due diligence processes for companies, including human rights impact assessments. She is Löning's focal point on responsible finance and co vice-chair of Finance and Human Rights a.s.b.l. Cecilia is specialised in international law and human rights law (LLM) and has gained experience in different international settings, among which the Subcommittee on Human Rights (DROI) at the European Parliament in Brussels, the International Bar Association's Human Rights Institute (IBAHRI) in London, the Human Rights Office of the Spanish Ministry of Foreign Affairs and Cooperation in Madrid and the Center for Justice and International Law (CEJIL) in Washington D.C.

Jonas Grimheden, Programme Manager Supporting Human Rights Systems, European Union Agency for Fundamental Rights, Vienna

Jonas leads one of five programmes at the European Union Agency for Fundamental Rights (FRA) – EU's advisory body on human rights – in Vienna, Austria. The programme supports more systematic human rights work in the EU and its Member States, including a rights-based approach, the rule of law, the Charter of Fundamental Rights of the EU, civil society, national human rights institutions, and interaction with international organisations as well as EU's external action service. Previously heading FRA's access to justice work, he has been with the Agency since 2009. He is a specialist in international human rights law with focus of work placed on justice, business and human rights, indicators, and monitoring mechanisms. Earlier he worked for the RWI with human rights development cooperation where he established and headed the first of the Institute's field offices, in China. Since 2009 he is Associate Professor (Docent) of International Human Rights Law at the Law Faculty, Lund University, Sweden.

Gabrielle Holly, Senior Adviser, Danish Institute for Human Rights, Copenhagen

Gabrielle is a business and human rights specialist and an experienced commercial disputes practitioner having practiced for many years at Magic Circle firms in Australia and the UK. She is currently a Senior Adviser in Human Rights and Business at the Danish Institute for Human Rights. Formerly, Gabrielle was a researcher in business and human rights at the British Institute of International and Comparative Law where she undertook comprehensive research and authored reports and academic articles on human rights due diligence in supply chains, conflicts between international human rights standards and national laws and the role of transnational tort in providing access to remedy under the UN Guiding Principles on Business and Human Rights. Gabrielle is an Australian qualified lawyer and holds a Master of Laws with Distinction from the London School of Economics and Political Science and a combined Bachelor of Laws/Bachelor of Arts with Honours from the University of Western Australia.

Jens Hillebrand Pohl, Adjunct Lecturer, Maastricht University; Course Director, Academy of European Law, Trier

Jens Hillebrand Pohl teaches and writes about Law and Geoeconomics, and is a founding Co-Director of the CELIS Institute, an independent nonprofit, nonpartisan research enterprise dedicated to promoting better regulation of foreign investment in light of security and public order concerns. He is a research fellow and adjunct lecturer at Maastricht University. Since 2019, Jens is Course Director at the Academy of European Law.

Online registration and more information:

www.era.int/?130631&en

Fee	Standard	EU and ERA patrons	Young lawyers & other groups
Registration by 12 April 2021	€ 135	€ 101.25	€ 101.25
Registration after 12 April 2021	€ 150	€ 112.50	€ 112.50

Contact



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Wednesday, 12 May 2021

- 11:45 Connecting to the videoconference platform
- 12:00 **Introduction: the growing role of societal values and interests in finance**
Jens Hillebrand Pohl
- 12:15 **Human rights and finance: an outline**
Cecilia Barral Diego
- 12:30 **Sustainability and human rights: an evolving landscape**
Jonas Grimheden
- 12:45 **Human rights due diligence in global value chains**
Gabrielle Holly
- 13:00 **Joint interactive discussion**
- 13:30 End of Workshop

For programme updates: www.era.int/?130631&en
Programme may be subject to amendment.

Did you know...

... that you can also register for the whole **workshop series “Regulatory Compliance in the EU Financial Sector”?**

28 April 2021: The New Industrial Strategy for (Post-Pandemic) Europe

- The New Industrial Strategy for Europe: compliance implications for the green and digital transitions
- Strategic investments and the new Capital Markets Union
- Post-pandemic resilience and back/middle-office reshoring

5 May 2021: Climate Compliance and the European Green Deal

- Green investment compliance and financial supervision
- Non-financial reporting obligations and their value-chain implications

12 May 2021: Human Rights Compliance in the Financial Sector

- Human rights due diligence in financial services value chains: *devoir de vigilance*
- Gender balance, financial inclusion and fair representation in governance

2 June 2021: Subsidies and Bank Bailouts: Impact of Covid-19

- State aid and public intervention in bank crisis management
- The new anti-subsidy instrument and third-country subsidies

9 June 2021: Critical Financial Infrastructure and Strategic Resilience

- Financial services as critical infrastructure: compliance implications
- Prudential ownership control and FDI screening in the financial sector
- Ongoing supervision of strategically important financial institutions

16 June 2021: Anti-Corruption Compliance in the Financial Sector

- Overview of EU anti-corruption regulation and the role of supervisors
- Sanctions and the external dimension of EU anti-corruption compliance

Registering for the whole workshop series represents a saving of **12%!**

To register for the whole workshop series, please follow the link to our website:

www.era.int/?130454&en